

SOFTWARE DEPOSIT CERTIFICATE

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I, undersigned, NORBERT RITZ, acting as the manager of FIRST GERMAN ESCROW (FGE), located at D- 41238 Mönchengladbach, Färberstr.6, Germany +49 2166 136 377 / - 138 890 Fax, attest the following:

The Company, located at has deposited with FGE

the software labelled

On Version 1.0 on April 8th 2007.

On V 2.0 on September 4th 2007.

The deposit is made under the FGE standard escrow agreement.

1. General description of the software:

The deposited software is called Xyz.

Xyz is a software system designed for Straight Through Processing (STP) und Cross Channel Delivery.

It includes the following modules:

aaa,

bbb,

fff,

ggg

2. Date of initial Deposit:

The first deposit was made with TOPOS on April 8th 2007.

3. List of Deposited Documents:

April 8th 2007 deposit contains in double:

The deposit includes in duplicate the following repositories and files:

data: test data

doc/Xyz Install and build procedure.tex and Xyz Install and build procedure.pdf.

Procedure to install the development and production environment as well as to build and deploy the Xyz Software,

free including the external components required for the installation of the development and integration environments and for the generation of the XYZ application (libraries, Apache Ant, J2SDK, etc.)

mcd: ORACLE database physical data models

sources: containing the CVS Database (The file structures of the deposit must be retained in order to respect the required dependencies) including the following CVS modules:

bloombergdata: ASP.Net source code in order to access the BLOOMBERG DATA

xyz: XYZ Java code

helene : R statistics scripts

noncohe : R statistic scripts

scripts : Virgin ORACLE Database creation scripts, initial parameterisation and populating,

strategies : .NET C# source code to accede the BLOOMBERG DATA

taq : Java source code to accede the TAQ data (NYSE Trade and Quote)

extEnv.bat: environment variable for the WebLogic platform.

September 4th 2007 deposit contains in double:

The file directory free including the external components required for the installation of the XYZ development and integration environments and for the generation of the XYZ application (libraries, APACHE ANT on V 1.5.4, J2SDK on V 1.3.1)

The file directory sources/xxxxxxx including the following sub-directories:
(The file structures of the deposit must be retained in order to respect the required dependencies):

Archives -Website documentation and reports

Bin -Repository to receive the .class compiled java classes created from the deposited java sources

dist -The deliverable version for the application server

o

lib -Target repository for the external libraries required for the XXXXXXXX compilation process

dev -Including 3 websites, the java sources and some administration scripts

Build.xml -The ANT XXXXXXXX build process

The file directory sources/scripts including the initial Oracle database creation scripts

Administration.doc – A document describing the current administration for the Website

Installation et Configuration.doc -Development and production environment installation procedures

xyz_Overview_1203.pdf: -General description of the software and consulting services

xyz_short_Powerpoint_1203.ppt -METAXIS slide presentation

4. Technical development environment for XYZ :

April 8th 2007 deposit contains in double:

XYZ is developed on the following platform:

PC Pentium IV, 3,4 Ghz , 1Gb RAM with an 120GB hard disk operating under Windows XP Professional SP2 and installed with:

- ORACLE SERVER ENTERPRISE V 8.1.7 and higher,
- SQL DEVELOPPER V 1 (www.sqldeveloper.com) or equivalent
- ECLIPSE V 3.0 (www.eclipse.org) or equivalent
- MS .NET V 1.1,
- MS VISUAL STUDIO 2003,
- APACHE ANT V 1.5.4
- APACHE TOMCAT V 5.0.28
- SUN JDK 1.3.1 et 1.4.2
- R V 1.9 (Statistic language)
- TOWNSEND ANALYTIC REALTICK 20040922 + TALTOOLKIT 3250,
- WEBLOGIC SERVER V 7.0.01
- Power AMC for the Oracle physical data model
- FORMULA ONE V 9 (www.tidestone.com)
- VISUAL MINING V 4 (www.visialmining.com)
- GFI FINANCIAL FUSION SERVER V5.1 MESSAGE BROKER (Sybase),
- SQL ANYWARE Studio 9.0 + patch,
- SOTRT 0407 , BLOOMBERG API: market data access software
- LATEX MIKTEX V 2.4.1705 for Windows V 2.4
- JAVADOC tools for the technical documentation.
- CVS v 1.11 as source manager.
- WINCVS V2.0
- External components as listed in the deposit installation procedure

September 4th 2007 deposit:

XYZ is developed on the following platform:

PC Pentium III, 1 Ghz , 512 Mb RAM with an 80GB hard disk operating under WINDOWS 2000 US version SP3 and installed with:

- ORACLE SERVER ENTERPRISE V 8.1.7 and higher,
- SQL DEVELOPPER V 1 (www.sqldeveloper.com) or equivalent
- ECLIPSE V 2.1 (www.eclipse.org) or equivalent
- ANT V 1.5.3.2
- SUN JDK 1.3.1
- WEBLOGIC SERVER V 7.1
- FORMULA ONE V 8 (www.tidestone.com)
- VISUAL MINING V 4 (www.visialmining.com)
- FINANCIAL FUSION SERVER V5.1 MESSAGE BROKER (Sybase),
- SQL Anyware Studio 9.0
- MS OFFICE 2000 and JAVADOC tools for the technical documentation.
- CVS v 1.11 as source manager.

5. Nature of the Deposits:

The XYZ September 4th 2007 deposit has been subject to the following FGE verification on a PC Pentium IV, 3,4 Ghz , 1Gb RAM with an 80GB hard disk previously installed with WINDOWS XP Professional SP2, an Internet connexion, a network connexion (with an ORACLE instance on the DataBase "SAC"):

Installation of the development and execution environment components as set out in the XYZ Install and build procedure.tex.

- WINZIP
- SQL DEVELOPPER V 1
- ECLIPSE V 3.0,
- MS .NET V 1.1,
- MS VISUAL STUDIO 2003,
- APACHE ANT V 1.5.4
- APACHE TOMCAT V 5.0.28
- SUN JDK 1.3.1 et 1.4.2
- R V 1.9 (Statistic language)
- TOWNSEND ANALYTIC REALTICK 20040922 + TALTOOLKIT 3250,

- WEBLOGIC SERVER V 7.0.01
- FORMULA ONE V 9 (www.tidestone.com)
- VISUAL MINING V 4 (www.visialmining.com)
- GFI FINANCIAL FUSION SERVER V5.1 MESSAGE BROKER (Sybase),
- SQL ANYWARE Studio 9.0 + patch,
- SOTRT 0407 , BLOOMBERG API,
- LATEX MIKTEX V 2.4.1705 for Windows V 2.4
- CVS v 1.11,
- WINCVS V2.0
- External components as listed in the deposit installation procedure

A complete execution of the build and deployment process for the development and production environment as well as the XYZ components.

The XYZ April 8th 2007 deposit has been subject to the following FGE verification on a PC Pentium 700 Mhz previously installed with WINDOWS 2000 Professional SP3, MS OFFICE 2000 and with a network connexion (with an ORACLE instance on the Database "SAC"):

Installation of the complementary deposited external components required to compile and package XYZ :

- WEBLOGIC SERVER V 7.1
- FORMULA ONE V 9 (www.tidestone.com)
- VISUAL MINING V 4 (www.visialmining.com)
- HYPERFEED TECHNOLOGY (www.hyperfeed.com)
- FINANCIAL FUSION SERVER V5.1 MESSAGE BROKER (SYBASE),
- ANT V 1.5.3.2
- SUN JDK 1.3.1
- SQL ANYWARE STUDIO 9.0

Full execution of the XYZ build process according to the stated procedure (Installation et Configuration.doc). The relevant deliverable version has been included in the bin and dist repositories that are also deposited.

Installation of the XYZ deliverable version

Execution test on a previously installed ORACLE database.

Verification of the legibility of the CD-Rom

Verification on the content of the deposit listed in the statement of honour, as copied overleaf.

The deposits are labelled:

FGE DEPOSITS

Dated the

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Signature